

## SMB3.1.1 POSIX Protocol Extensions: Summary and Current Implementation Status

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SMB 3.1.1

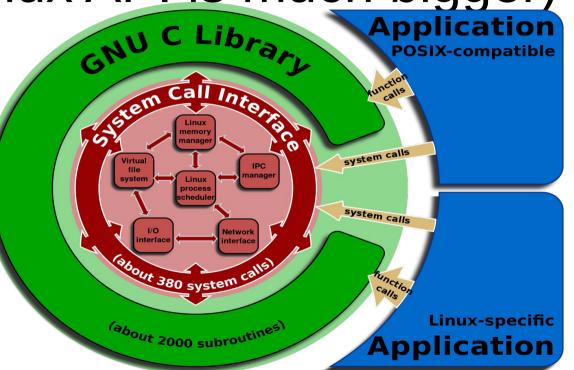
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#### Outline

- What is POSIX?
- Why do these extensions matter?
- Demo
- What if we don't have them?
  - What works?
  - Some history: CIFS Extensions
  - Alternatives
- Some details
- How to handle Linux continuing to extend APIs, to improve?

POSIX != Linux (Linux API is much bigger)



#### Linux is BIG

- Currently 293 Linux syscalls!
- VS
- About 100 POSIX API calls



#### Example of how Linux is evolving

- Fallocate alone now has 7 flags
  - Insert range
  - Unshare range
  - Zero range
  - Keep size
  - ...
- Rename (renameat2) now has 3 flags
  - noreplace, whiteout and exchange
- And these are just two syscalls ...



### Motivations for Extensions

-MOTIVATION-

- Linux Apps work!
  - Case sensitivity e.g. is required for the kernel to build on Linux
  - (And Linux and other posix-like operating systems want posix behavior for files whether on premise or in cloud)
- Improve common situations where customers have Linux and Windows and Mac clients accessing the same data
- Deprecation of CIFS make sure extensions work with most secure, most optimal SMB3.1.1 dialect

#### Why Samba?

Since 1992 ... Top Server on Linux

- Proven
- Broadly Implemented
- Extensible
- Secure
- Well Tested
- Implements richest File Protocol

- Enormous Client Base (Mac, Windows, now better on Linux! And more)

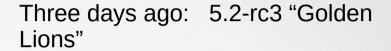
- 3.5 Million LOC!





### A year ago ... and now ... kernel (including SMB3 client cifs.ko) improving

 A year ago Linux 4.16 "Fearless Coyote"







### To experiment with POSIX Extensions use 5.1 kernel or backport patch below

commit 0d481325a9e5e3a31bf83bfcd3690a7a7152ece1

Author: Steve French <stfrench@microsoft.com>

Date: Sun Feb 24 17:56:33 2019 -0600

smb3: Update POSIX negotiate context with POSIX ctxt GUID

#### **Quality Much Improved – Top Priority**

- More xfstests pass (up to 127 now and growing), vast majority of the rest are skipped due to missing features or being inappropriate for network file systems
- Crediting (flow control) hugely improved (thanks to Pavel Shilovsky and others)
- Many potential issues pointed out by static analysis addressed
- ☐ **The "Buildbot"** reduced regressions and is VERY exciting recent addition for CIT (thanks to Ronnie, Aurelien and Paulo)
- POSIX Extensions (jra's tree) now a buildbot target for automated regression tests. Will be expanding test list run vs. it soon ...

# POSIX: What could you try today?

- For obvious reasons these experimental changes not enabled by default:
  - With current mainline Linux (4.18 or later) must mount with "vers=3.1.1"
     AND also specify new mount option "posix" and turn off remapping of reserved characters (ie append "nomapposix")
  - Limited protocol features (posix open context request) can be tried but this small change VERY useful, enough to experiment with and test various apps
- JRA has a tree on samba.org (git.samba.org/jra/samba/.git in branch "master-smb2") with prototype server code
- Other vendors testing experimental distinct implementations of POSIX extensions as well (e.g. at the test event in January)

### Example

- On the client:
  - "mount –t smb3 //<address>/<share> /mnt -o username=<user>,password=<pass>, vers=3.1.1,posix,mfsymlinks,nomapposix,noperm
- On the server add to smb.conf
  - "mangled names = no"
  - "directory mask = 07777"
  - "create mask = 07777"
  - Consider removing "obey pam restrictions"

#### **POSIX Path Names Work**

```
File Edit View Search Terminal Help
root@smf-Thinkpad-P51:~# ls /posix-extensions-mount/
d0754 fileacolon: 'fileexclamation!'
                                              hello
'file!' 'fileasterisk*' 'filequestion?'
                                              newfile
root@smf-Thinkpad-P51:~# ls /scratch
d0754 fileacolon: 'fileexclamation!'
                                              hello
'file!' 'fileasterisk*' 'filequestion?'
                                              newfile
root@smf-Thinkpad-P51:~# uname -a
Linux smf-Thinkpad-P51 5.0.0-rc4+ #67 SMP Sun Jan 27 20:49:32
019 x86 64 x86 64 x86 64 GNU/Linux
root@smf-Thinkpad-P51:~# ~/posix/bin/smbd -V
Version 4.10.0pre1-DEVELOPERBUILD
  +Acmf-Thinknad DE1 . . #
```

#### Note the new mount option "posix" (vs "nounix")

```
root@Ubuntu-17-Virtual-Machine:~/cifs-2.6# cat /proc/mounts | grep cifs
//localhost/test-no-posix /mnt1 cifs rw.relatime.vers=3.1.1.cache=strict.username=testuser.domain=.uid=0.noforc
euid.gid=0.noforcegid.addr=127.0.0.1.file mode=0755.dir mode=0755.soft.nounix.serverino.mapposix.rsize=1048576.
wsize=1048576.echo interval=60.actimeo=1 0 0
//localhost/test /mnt cifs rw.relatime.vers=3.1.1.cache=strict.username=testuser.domain=.uid=0.noforceuid.gid=0
.noforcegid.addr=127.0.0.1.file mode=0755.dir mode=0755.soft.posix.posix.posixpaths.serverino.mapposix.rsize=1048576.
wsize=1048576,echo interval=60,actimeo=1 0 0
root@Ubuntu-17-Virtual-Machine:~/cifs-2.6# cat /proc/fs/cifs/DebugData
Display Internal CIFS Data Structures for Debugging
CIFS Version 2.12
Features: dfs fscache lanman posix spnego xattr acl
Active VFS Requests: 0
Servers:
Number of credits: 16 Dialect 0x311 posix
1) Name: 127.0.0.1 Uses: 2 Capability: 0x300047 Session Status: 1
                                                                        TCP status: 1
       Local Users To Server: 1 SecMode: 0x1 Reg On Wire: 0
        Shares:
       0) IPC: \\127.0.0.1\IPC$ Mounts: 1 DevInfo: 0x0 Attributes: 0x0
       PathComponentMax: 0 Status: 1 type: 0
        Share Capabilities: None
                                        Share Flags: 0x0
        tid: 0x4f5511db Maximal Access: 0x1f00a9
       1) \\localhost\test Mounts: 1 DevInfo: 0x20 Attributes: 0x1006f
        PathComponentMax: 255 Status: 1 type: DISK
       Share Capabilities: None Aligned, Partition Aligned,
                                                               Share Flags: 0x0
        tid: 0x8579c31d Optimal sector size: 0x200
                                                       Maximal Access: 0x1f01ff
       2) \\localhost\test-no-posix Mounts: 1 DevInfo: 0x20 Attributes: 0x1006f
        PathComponentMax: 255 Status: 1 type: DISK
       Share Capabilities: None Aligned, Partition Aligned,
                                                               Share Flags: 0x0
       tid: 0x1813a493 Optimal sector size: 0x200
                                                       Maximal Access: 0x1f01ff
       MIDs:
```

#### Mode bits on create and case sensitivity work!

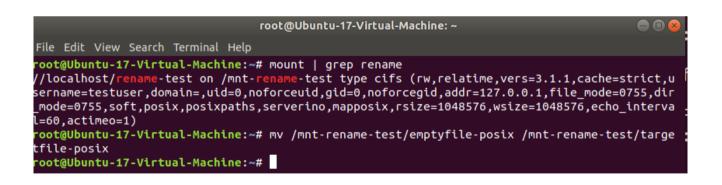
```
root@Ubuntu-17-Virtual-Machine:/mnt# ~/create-4-files-with-mode-test
root@Ubuntu-17-Virtual-Machine:/mnt# cd /mnt1
root@Ubuntu-17-Virtual-Machine:/mnt1# ~/create-4-files-with-mode-test
root@Ubuntu-17-Virtual-Machine:/mnt1# ls /test /test-no-posix -la
/test:
total 12
drwxrwxrwx 3 root root 4096 May 31 16:55
drwxr-xr-x 32 root root 4096 May 31 16:46 ...
-rwx----- 1 testuser testuser 0 May 31 16:55 0700
-rwxrwx--- 1 testuser testuser 0 May 31 16:55 0770
-rwxrwxr-x 1 testuser testuser 0 May 31 16:55 0775
drwxr-xr-x 2 sfrench sfrench 4096 Mar 24 10:34 tmp
/test-no-posix:
total 8
drwxrwxrwx 2 root root 4096 May 31 16:55
drwxr-xr-x 32 root
                     root 4096 May 31 16:46 ...
-rwxrw-r-- 1 testuser testuser 0 May 31 16:55 0700
-rwxrw-r-- 1 testuser testuser 0 May 31 16:55 0770
-rwxrw-r-- 1 testuser testuser 0 May 31 16:55 0775
root@Ubuntu-17-Virtual-Machine:/mnt1# mkdir UPPER
root@Ubuntu-17-Virtual-Machine:/mnt1# touch upper
root@Ubuntu-17-Virtual-Machine:/mnt1# cd /mnt
root@Ubuntu-17-Virtual-Machine:/mnt# mkdir UPPER
root@Ubuntu-17-Virtual-Machine:/mnt# touch upper
root@Ubuntu-17-Virtual-Machine:/mnt# ls /test /test-no-posix
/test:
0700 0770 0775 tmp upper UPPER
/test-no-posix:
0700 0770 0775 UPPER
```

#### Mode bits on mkdir works!

```
root@smf-Thinkpad-P51:~/cifs-2.6# mount -t smb3 //127.0.0.1/scratch /mnt -o username=testuser,
,vers=3.11,posix
root@smf-Thinkpad-P51:~/cifs-2.6# umask 0000
root@smf-Thinkpad-P51:~/cifs-2.6# mkdir /mnt/0774 -m 0774
root@smf-Thinkpad-P51:~/cifs-2.6# mkdir /mnt/0770 -m 0770
root@smf-Thinkpad-P51:~/cifs-2.6# mkdir /mnt/0444 -m 0444
root@smf-Thinkpad-P51:~/cifs-2.6# ls /scratch -la
total 20
drwxrwxrwx 5 root root 4096 Jun 15 20:42
drwxr-xr-x 35 root root
                               4096 Jun 15 20:39 ...
dr--r--r-- 2 testuser testuser 4096 Jun 15 20:42 0444
drwxrwx--- 2 testuser testuser 4096 Jun 15 20:42 0770
drwxrwxr-- 2 testuser testuser 4096 Jun 15 20:42 0774
root@smf-Thinkpad-P51:~/cifs-2.6# cat /proc/version
Linux version 4.17.0+ (sfrench@smf-Thinkpad-P51) (gcc version 7.3.0 (Ubuntu 7.3.0-16ubuntu3))
```

#### Rename works with POSIX extensions!

```
root@Ubuntu-17-Virtual-Machine: ~
File Edit View Search Terminal Help
                                                                                         File Edit View Search Terminal Help
                                                                                         root@Ubuntu-17-Virtual-Machine:~# tail -f /mnt-rename-test/targetfile
                                                                                         targetfile data
                                                                                         tail: /mnt-rename-test/targetfile: No such file or directory
root@Ubuntu-17-Virtual-Machine:~# ls /mnt-rename-test -la
                                                                                         tail: no files remaining
                                                                                         root@Ubuntu-17-Virtual-Machine:~#
                                                                                                                                                                     .uid=0
drwxr-xr-x 2 root root 0 May 31 18:19
                                                                                        s,soft,posix,posixpaths,serverino,mapposix,rsize=1<u>048576.wsize=104</u>
drwxr-xr-x 34 root root 4096 May 31 18:13 ...
 rwxr-xr-x 1 root root 0 May 31 18:18 emptyfile
 rwxr-xr-x 1 root root 0 May 31 18:19 emptyfile-posix
                                                                                         :he=strict.username=testuser.domain=.uid=0.noforceuid.gid=0.noforc
 rwxr-xr-x 1 root root 16 May 31 18:17 targetfile
 rwxr-xr-x 1 root root 16 May 31 18:19 targetfile-posix
                                                                                         napposix.rsize=1048576.wsize=1048576.echo interval=60.actimeo=1)
root@Ubuntu-17-Virtual-Machine:~# mount | grep rename
//localhost/rename-test on /mnt-rename-test type cifs (rw.relatime.vers=3.1.1.cache=strict.u
root@Ubuntu-17-Virtual-Machine:~# mv /mnt-rename-test/emptyfile /mnt-rename-test/targetfileo
mv: cannot move '/mnt-rename-test/emptyfile' to '/mnt-rename-test/targetfile': Permission de
```



#### Statfs ("stat –f") without POSIX extensions:

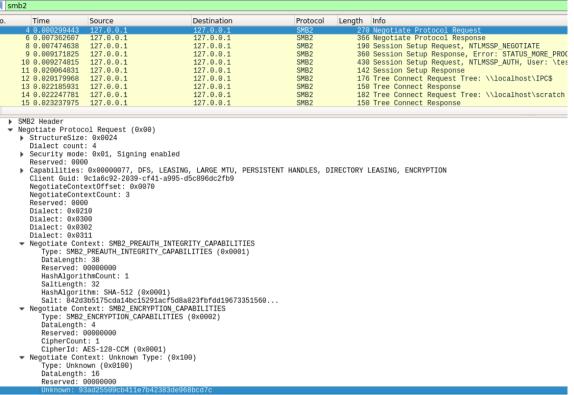
```
root@smf-Thinkpad-P51:~/cifs-2.6# cat /proc/mounts | grep cifs
//localhost/scratch /mnt cifs rw,relatime,vers=3.0,cache=strict,username=testuser,domai
uid=0.noforceuid.gid=0,noforcegid,addr=127.0.0.1,file mode=0755,dir mode=0755,soft,nou
x,serverino,mfsymlinks,noperm,rsize=1048576,wsize=1048576,echo interval=60,actimeo=1 0
root@smf-Thinkpad-P51:~/cifs-2.6# stat -f /mnt
 File: "/mnt"
   ID: 0 Namelen: 4096 Type: smb2
Block size: 1024 Fundamental block size: 1024
Inodes: Total: 0 Free: 0
root@smf-Thinkpad-P51:~/cifs-2.6# stat -f /scratch
 File: "/scratch"
   ID: e94471edc7140504 Namelen: 255 Type: ext2/ext3
Block size: 4096 Fundamental block size: 4096
Blocks: Total: 58701044 Free: 10080212 Available: 7080929
Inodes: Total: 14983168 Free: 13901548
```

#### Statfs ("stat –f") with POSIX extensions – works!

```
root@smf-Thinkpad-P51:~/cifs-2.6# cat /proc/mounts | grep smb3
//127.0.0.1/scratch /mnt1 smb3 rw,relatime,vers=3.1.1,cache=strict,username=testuser,doma
in=,uid=0,noforceuid,gid=0,noforcegid,addr=127.0.0.1,file mode=0755,dir mode=0755,soft,po
six,posixpaths,serverino,mapposix,noperm,rsize=1048576,wsize=1048576,echo interval=60,act
imeo=1 0 0
root@smf-Thinkpad-P51:~/cifs-2.6# stat -f /mnt1
 File: "/mnt1"
   ID: 0 Namelen: 4096 Type: smb2
Block size: 4096 Fundamental block size: 4096
Inodes: Total: 14983168 Free: 13901538
root@smf-Thinkpad-P51:~/cifs-2.6# stat -f /scratch
 File: "/scratch"
   ID: e94471edc7140504 Namelen: 255 Type: ext2/ext3
Block size: 4096 Fundamental block size: 4096
Inodes: Total: 14983168 Free: 13901536
```

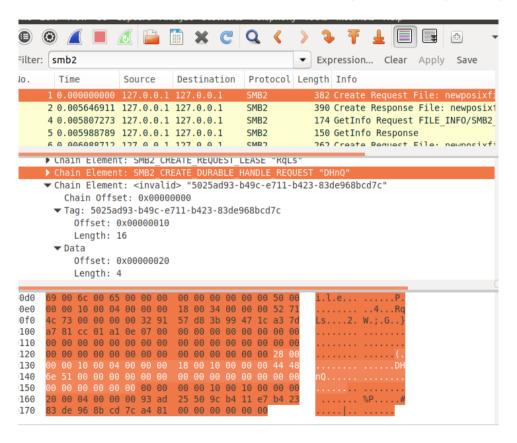
#### Details – Negotiate Req (w/POSIX)

- Just changed
- Now 16 byte GUID
- Allows versioning

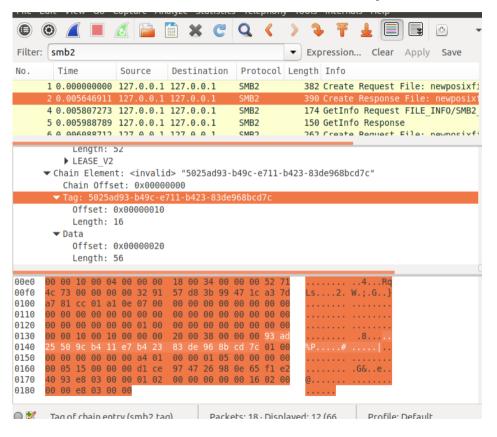


0010 01 00 43 51 40 00 40 06 f8 a4 7f 00 00 01 7f 00 · CO@ · @ · · · · · · · 00 01 b9 04 01 bd 68 36 9b 78 e7 7e d9 43 80 18 .....h6 .x.~.C. 00 00 00 00 00 00 24 00 04 00 01 00 00 00 77 00 . . . . . . . . . . . . . . . w 00 00 92 6c 1a 9c 39 20 41 cf a9 95 d5 c8 96 dc 2f b9 70 00 00 00 03 00 00 00 10 02 00 03 02 03 03 00 00 00 00 01 00 26 00 00 00 00 00 01 00 · · · · · : 0 u · · K · R · · 20 00 01 00 84 2d 3b 51 75 cd a1 4b c1 52 91 ac a8 23 fb fd d1 96 73 35 15 60 80 60 b2 99 f1 5b 04 5b 00 00 02 00 04 00 00 00 00 00 01 00 .[.[.... 0100 25 50 9c h4 11 e7 h4 23 83 de 96 8h cd 7c

#### Details continued – Create (POSIX) req



#### Details continued – create response



### Summary of What works

Without Extensions

With Extensions

### Example (w/o POSIX extensions)

(thank you to Aurelien at SuSE!)

- Mode bits (in special ACE)
  - Mode bits on left
  - File name on right
- NB mkfifo not finished
- Ownership works too

444 mnt/smb/0444

540 mnt/smb/0540

777 mnt/smb/0777

1777 mnt/smb/1777

2777 mnt/smb/2777

3777 mnt/smb/3777

1777 mnt/smb/d01777

2777 mnt/smb/d02777

444 mnt/smb/d0444

4777 mnt/smb/d04777

540 mnt/smb/d0540

777 mnt/smb/d0777

644 mnt/smb/emptyfile

stat: cannot stat 'mnt/smb/fifo': Operation not supported

644 mnt/smb/file-as-sfrench

## CIFS Unix/POSIX Extensions

- What was wrong with what we had?
  - Remember CIFS Deprecation?
  - And not just due to WannaCry ...
    - SMB3 is really good ...
- Apple SMB2/SMB3 create context does handle case sensitivity, but not all POSIX compatibility issues

### Client Perspective

- What about the Linux Kernel?
  - New API changes added about once a year to the VFS (minor global changes added more often, but not all could affect what we need to send on the wire in perfect world ...)
  - Need to quickly update protocol when not possible to do over SMB3
  - Need better interaction with key communities (containers, databases and many others) about what they would like to see

### The challenges of Create/ Rename/Delete

## The challenges of POSIX inode metadata

- What do we need to be able to return?
- What about mode bits and ACLs?

## The Challenges of POSIX

locking

## The Challenges of POSIX

FS info

# Remember the Server Perspective?



#### SMB3 POSIX Extensions

- Negotiate Protocol
  - SMB3.1.1 (or later required)
    - POSIX Negotiate Context 0x100
    - Version is included in the context by including the GUID of the supported POSIX open context(s) – currently only one supported
  - If POSIX open contexts not supported, negotiate context must be ignored
  - If POSIX open contexts supported for some files then negotiate context is returned, but server must fail opens with POSIX contexts for files where POSIX is not supported (rather than ignoring the POSIX context)
- Tree Connect in future dialects tree connect contexts may allow more granularity in allowing servers to tell clients which shares they can't use POSIX opens on
- Case sensitivity yes/no can be exposed via existing QFS Info call

# POSIX Extension Requirements

- If server returns a POSIX create context on an open:
  - It supports case sensitive names on this path
  - It supports POSIX unlink/rename semantics on this file
  - It supports advisory (POSIX) locking on this file.
    - Actually they are "OFD" not "POSIX" locks (see e.g.
       https://gavv.github.io/blog/file-locks/#emulating-open-file-description-locks

       )
  - PATH names are not remapped (no SFU remap needed for \* and \ and > and < and : ...). UCS2 converted directly to UTF-8 and server supports POSIX pathnames

## We Leverage Existing SMB3 features

- Hardlinks use Windows setinfo call (long ago implemented)
- Symlinks, mkfifo, mknod use "nfs reparse point" (MS-FSCC 2.1.2.6)
- ACE with special SID (with mode at end) ala "NFS ACL" mapping can be used to set mode (SID: S-1-5-88-3) see http://people.redhat.com/steved/Bakeathon-2010/SDC2010 -NFS-Windows.jbiseda.20100921.pdf
- Other linux extensions, e.g. fallocate are mapped to existing SMB3 operations where possible

#### SMB311 POSIX Extensions

- Create/Open
  - New POSIX create context
    - If POSIX supported then context must be returned on all opens for which POSIX create context was sent (or open should be failed)
    - It is allowed to have POSIX and non-POSIX opens on the same file
    - It is allowed to have some files in a server which are POSIX and some which are not

### Format of the POSIX owner and mid information in the ACL

- <NTSecurityAuthority>-<SECURITY\_NFS\_ID\_BASE\_RID>-<NfsSidType>-<NfsSidValue>
- □ Owner SID for UID: "S-1-5-88-1-<uid>"
- ☐ Group SID for GID: "S-1-5-88-2-<gid>"
- ☐ Mode SID: "S-1-5-88-3-<mode>"
- ☐ Everyone: "S-1-5-88-4"

#### Proposed POSIX Infolevels

- Query/SetInfo and Query\_DIR (and also FSInfo)
  - Level 0x64 SMB2\_FIND\_POSIX\_INFORMATION
  - Payload variable (Max = 216 bytes)
    - Timestamps
    - File size
    - Dos attributes
    - U64 Inode number
    - U32 device id
    - U32 zero
    - Struct posix\_create\_context\_response

#### Wireshark

- See Aurelien's dissector improvements
  - https://github.com/aaptel/wireshark/commits/smb3unix
  - And Pike sample test code
    - https://github.com/aaptel/pike/tree/ smb3unix

## POSIX Extensions – Where do we go from here?

- Continue debugging test implementations (cifs.ko and JRAs Samba POSIX test branch).
   Current focus (eg at this test event) on enhancing smb3 client to handle mode bits in ACL, and handle special files and server side symlinks via reparse points
- Continue to add xfstests to the 'jraposix' test group in the buildbot (to regression test the client against Samba server with POSIX extensions)
- Examine EVERY xfstest skip and every xfstest fail for potential match to features in (or to add to) SMB3.1.1 POSIX Extensions
  - e.g. enabling special files (fifos, blkdevs etc.) to be stored as reparse points enables five tests.
- Continue extending the wireshark dissectors (see Aurelien)
- Continue testing here, and in two weeks in Redmond and in September at SNIA SDC
- Continue updating the wiki with details: https://wiki.samba.org/index.php/SMB3-Linux
- Questions/comments welcome: samba-technical and linux-cifs lists

#### Thank you for your time

• This is a very exciting time for ...

