


# Accessing files from the smallest devices to the largest (and the cloud): Improvements to SMB3.1.1 and Linux

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# Who am ?

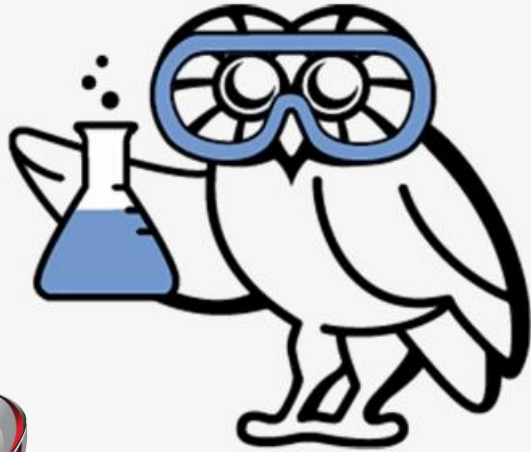
- Steve French [smfrench@gmail.com](mailto:smfrench@gmail.com)
- Author and maintainer of Linux cifs vfs (for accessing Samba, Azure, Windows and various SMB3/CIFS based NAS appliances)
- Co-maintainer of the new kernel server (ksmbd)
- Also wrote initial SMB2 kernel client prototype
- Member of the Samba team
- coauthor of SNIA CIFS Technical Reference, former SNIA CIFS Working Group chair
- Principal Software Engineer, Azure Storage: Microsoft

# Outline

- Overview of Linux FS activity
- New Kernel server
- Recent client improvements
  - Kernel
  - Utilities
- Coming soon ... what to look forward to
- Testing improvements

# A year ago and now ...

- Now: 5.19-rc1 “Superb Owl”



- Then: 5.13-rc2 “Frozen Wasteland”





# LSF/MM/eBPF summit recently concluded



# Some Linux FS topics of interest from LSF and other recent discussions

- Folios, netfs and the redesign of page cache and offline (fscache)
- Improvements to statx and fsinfo and to inotify/fanotify
- Idmapped mounts
- Extending in kernel encryption: TLS handshake (for NFS) and QUIC (SMB3.1.1 and other)
- io\_uring (async i/o improvements)
- Shift to cloud
- Better support for faster storage (NVME) and net (RDMA/smbdirect)



# Linux Filesystems Activity over past year (since 5.13-rc2)

- 5320 filesystems changesets (6.6% of total kernel changesets, one of the most watched parts of the kernel, and FS Activity up slightly as percentage of kernel activity)
- Linux kernel fs are 1.07 million lines of code (measured this week)





# Most Active Linux Filesystems over the past year

- VFS (mapping layer) 1022 changesets
- The top filesystems and VFS dominate the activity
- Most active are BTRFS 927 (almost 60KLOC changed!), XFS 519
- Then NFS (283) and SMB3.1.1 (cifs.ko) (273 changesets) clients
  - cifs.ko had almost twice as many lines changed. It has been a VERY active year for cifs.ko
- Other:
  - Ext4 (263 changesets), ksmbd (new, added in the 5.15 kernel) (189), nfsd (177), ceph (139), gfs2 (133), ntfs3 (new, added in 5.15) (123)

# SMB3.1.1 Activity was strong this year

- cifs.ko activity was strong, 273 changesets
  - cifs is now 60KLOC kernel code (not counting user space utilities), 2% larger
- ksmbd activity was also strong
  - Introduced in the 5.15 kernel, 25KLOC kernel code, 189 changesets since its introduction
- Samba server (userspace) is over 3.5 million lines of code (orders of magnitude bigger than the kernel smbserver or any of the NFS servers) and is even more active

# One of the strengths of SMB3.1.1 is broad interop testing

- Hopefully in-person plugfests resume soon – but a virtual SMB3.1.1 plugfest will be held this week



# What are the goals?

- Repeating an older slide about goals of SMB3.1.1:
- Fastest, most secure general-purpose way to access file data, whether in the cloud or on premises or virtualized
- Implement all reasonable Linux/POSIX features – so apps don't know they run on SMB3 mounts (vs. local)
- As Linux evolves, and needs new features, quickly add to Linux kernel client and Samba and ksmbd







# Progress and Status update for Linux Kernel Server (ksmbd)

Provided by Namjae Jeon ([linkinjeon@kernel.org](mailto:linkinjeon@kernel.org))

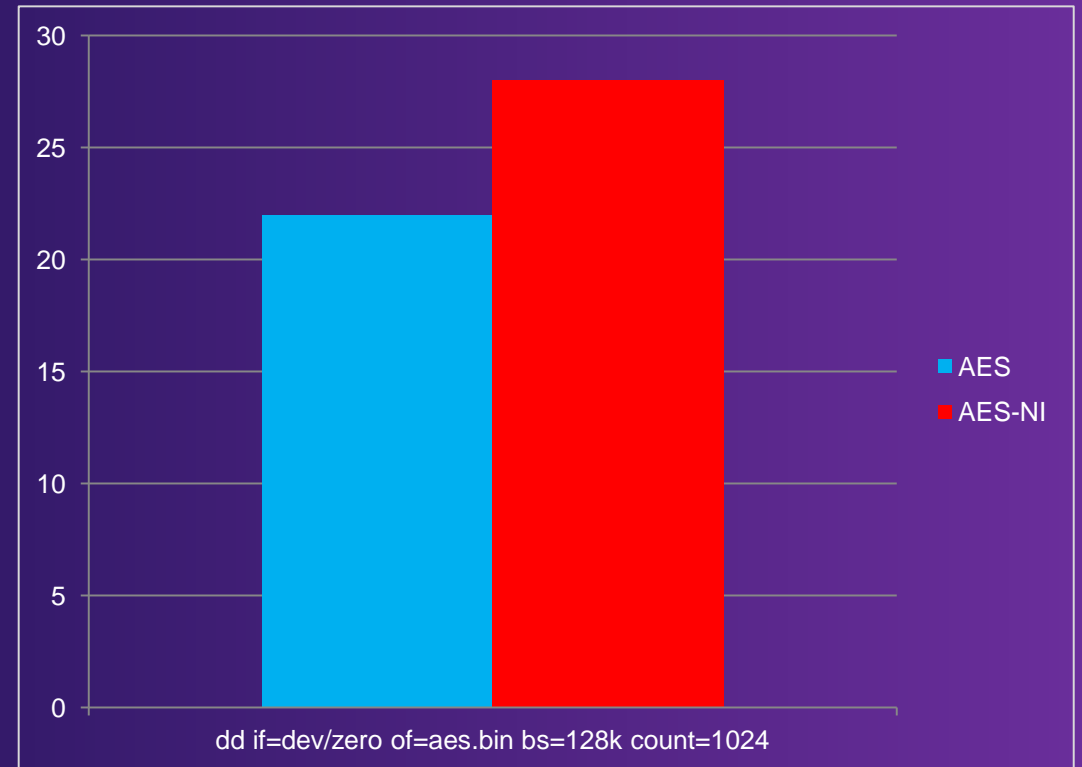
# ksmbd merged into mainline Linux in the fall (5.15)

- Getting reviews for 5 months since ksmbd v1 patch series went in Linux-next
- Many high profile developers reviewed, Thank you!
  - Multiple security issues were recently identified, and these fixes are the focus now
- Ksmbd is merged into linux-5.15-rc1 merge window
- To make module and directory name consistent: changed “cifs” to “ksmbd”
- Later the cifs source directory will be renamed to smbfs\_client to reduce confusion (and to avoid referencing old, deprecated, less secure protocol dialect ‘cifs.’ Modern clients and servers negotiate SMB3 or later, not old cifs)
  - Common code between client and server is now in “fs/smbfs\_common” directory

# AES-256 encryption support

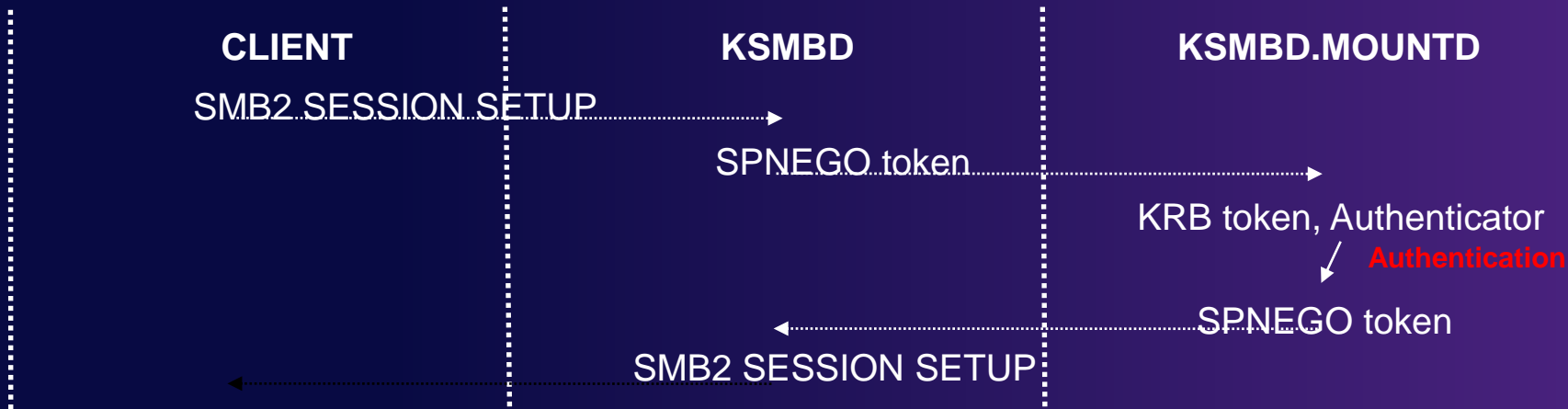
- ksmbd AES-256 CCM/GCM encryption support now available (strongest encryption)
- Ksmbd accelerated encryption(AES-GCM) performance using AES-NI support in kernel

MB/second



# Kerberos support

- Support authentication with Kerberos
- Ksmbd transmit Kerberos msg to ksmbd.mountd
- Ksmbd.mountd uses libkrb5 library



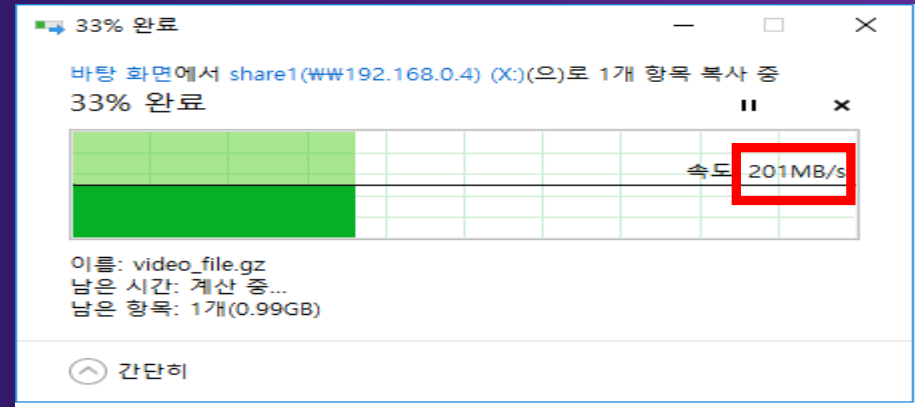
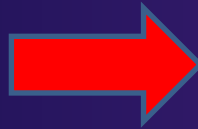
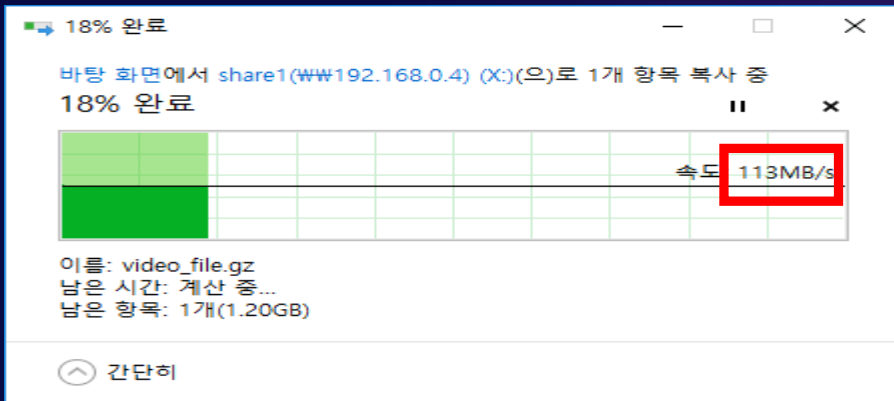


# Duplicate extent support

- Ksmbd add support for FSCTL\_DUPLICATE\_EXTENT\_TO\_FILE
- This command can be used if share is in reflink support local fs (Linux client uses it for some fallocate related operations like insert range)
- Additional xfstests tests pass.
- Ksmbd doesn't have to deal with VFS mapping(btrfs, etc.) layer like samba.

# SMB3 multi-channel support

- SMB3 Multichannel feature greatly improves performance on Multi-port NIC or multiple NICs.
- Ksmbd kernel server started to support SMB3 multichannel.
- TODO Replay/retry features on channel failure.



# SMB3 multi-channel support

- Send NICs information to client through FSCTL\_QUERY\_NETWORK\_INTERFACE\_INFO command

The image shows a Wireshark capture of SMB3 traffic. The main pane displays a list of packets, with packet 38 highlighted. The details pane for packet 38 shows the SMB2 structure, including the FSCTL\_QUERY\_NETWORK\_INTERFACE\_INFO command. The command's output is highlighted in a red box, showing two network interfaces: 1.0 Gbits/s, IPv4: 192.168.0.6 and 1.0 Gbits/s, IPv4: 192.168.0.5.

| No. | Time     | Source      | Destination | Protocol | Length | Info  |
|-----|----------|-------------|-------------|----------|--------|---|
| 22  | 4.518957 | 192.168.0.4 | 192.168.0.5 | SMB2     | 232    | Negotiate Protocol Request  |
| 24  | 4.622165 | 192.168.0.5 | 192.168.0.4 | SMB2     | 328    | Negotiate Protocol Response   |
| 25  | 4.624075 | 192.168.0.4 | 192.168.0.5 | SMB2     | 220    | Session Setup Request, NTLMSSP_NEGOTIATE  |
| 27  | 4.624675 | 192.168.0.5 | 192.168.0.4 | SMB2     | 267    | Session Setup Response, Error: STATUS_MORE_PROCESSING_REQUIRED, NTLMSSP_CHALLENGE |
| 28  | 4.626138 | 192.168.0.4 | 192.168.0.5 | SMB2     | 524    | Session Setup Request, NTLMSSP_AUTH, User: CLIENT01\ksmbd                         |
| 30  | 4.629928 | 192.168.0.5 | 192.168.0.4 | SMB2     | 139    | Session Setup Response  |
| 31  | 4.630744 | 192.168.0.4 | 192.168.0.5 | SMB2     | 170    | Tree Connect Request Tree: \\192.168.0.5\share1                                   |
| 33  | 4.632172 | 192.168.0.5 | 192.168.0.4 | SMB2     | 138    | Tree Connect Response   |
| 34  | 4.632654 | 192.168.0.4 | 192.168.0.5 | SMB2     | 178    | Ioctl Request FSCTL_QUERY_NETWORK_INTERFACE_INFO                                  |
| 36  | 4.633030 | 192.168.0.4 | 192.168.0.5 | SMB2     | 234    | Create Request File:  |
| 38  | 4.633730 | 192.168.0.5 | 192.168.0.4 | SMB2     | 474    | Ioctl Response FSCTL_QUERY_NETWORK_INTERFACE_INFO                                 |
| 39  | 4.634796 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 41  | 4.638238 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 43  | 4.639203 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 44  | 4.639571 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 46  | 4.639940 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 47  | 4.640597 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 49  | 4.641134 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 52  | 4.951958 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 54  | 4.954179 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 55  | 4.957627 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 56  | 4.958241 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 57  | 4.959951 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 58  | 4.961013 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 59  | 4.962177 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 60  | 4.962489 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |
| 61  | 4.965788 | 192.168.0.4 | 192.168.0.5 | SMB2     |        |   |
| 62  | 4.966000 | 192.168.0.5 | 192.168.0.4 | SMB2     |        |   |

```
> Transmission Control Protocol, Src Port: 445, Dst Port: 64908, Seq: 657, Ack: 1235, Len: 420
> NetBIOS Session Service
  > SMB2 (Server Message Block Protocol version 2)
    > SMB2 Header
      > Ioctl Response (0x0b)
        > StructureSize: 0x0031
          Unknown: 0000
        > Function: FSCTL_QUERY_NETWORK_INTERFACE_INFO (0x001401fc)
          GUID handle
          Reserved: 00000000
          Reserved: 00000000
          Blob Offset: 0x00000070
          Blob Length: 0
          In Data: NO DATA
          Blob Offset: 0x00000070
          Blob Length: 204
        > Out Data
          > Network Interface, 1.0 Gbits/s, IPv4: 192.168.0.6
          > Network Interface, 1.0 Gbits/s, IPv4: 192.168.0.5
```

# SMB3 multi-channel support

- Client send session binding request to ksmbd.

The image shows a Wireshark capture of SMB traffic. The main pane displays a list of packets, with packet 1077 highlighted. The details pane for packet 1077 is expanded, showing the SMB2 header and session setup request details. A red box highlights the 'Flags: 1, Session Binding Request' field, with a sub-entry indicating '...1 = Session Binding Request: True'.

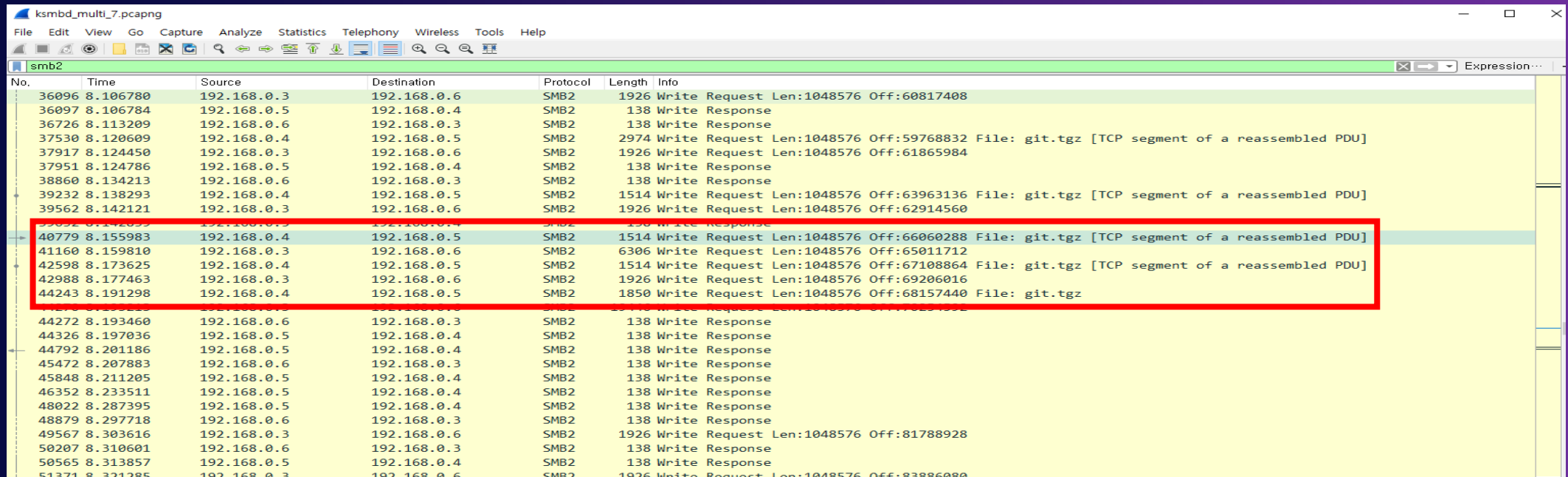
| No.  | Time     | Source      | Destination | Protocol | Length | Info   |
|------|----------|-------------|-------------|----------|--------|--|
| 953  | 7.654699 | 192.168.0.5 | 192.168.0.4 | SMB2     | 138    | Write Response   |
| 991  | 7.657377 | 192.168.0.4 | 192.168.0.5 | SMB2     | 2974   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1028 | 7.661351 | 192.168.0.5 | 192.168.0.4 | SMB2     | 138    | Write Response   |
| 1064 | 7.663970 | 192.168.0.6 | 192.168.0.3 | SMB2     | 328    | Negotiate Protocol Response  |
| 1077 | 7.664729 | 192.168.0.3 | 192.168.0.6 | SMB2     | 220    | Session Setup Request, NTLMSSP_NEGOTIATE   |
| 1082 | 7.664933 | 192.168.0.6 | 192.168.0.3 | SMB2     | 267    | Session Setup Response, Error: STATUS_MORE_PROCESSING_REQUIRED, NTLMSSP_CHALLENGE      |
| 1092 | 7.665569 | 192.168.0.3 | 192.168.0.6 | SMB2     | 524    | Session Setup Request, NTLMSSP_AUTH, User: CLIENT01\ksmbd                              |
| 1098 | 7.665950 | 192.168.0.6 | 192.168.0.3 | SMB2     | 139    | Session Setup Response   |
| 1101 | 7.666107 | 192.168.0.4 | 192.168.0.5 | SMB2     | 16114  | Write Request Len:1048576 Off:8386608 File: git.tgz [TCP segment of a reassembled PDU] |
| 1139 | 7.669597 | 192.168.0.5 | 192.168.0.4 | SMB2     | 138    | Write Response   |
| 1270 | 7.671362 | 192.168.0.3 | 192.168.0.6 | SMB2     | 2974   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1360 | 7.672148 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 1389 | 7.672733 | 192.168.0.3 | 192.168.0.6 | SMB2     | 17478  | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1509 | 7.673780 | 192.168.0.3 | 192.168.0.6 | SMB2     | 2926   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1533 | 7.674300 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 1637 | 7.675728 | 192.168.0.3 | 192.168.0.6 | SMB2     | 4386   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1640 | 7.675895 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 1647 | 7.676343 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 1756 | 7.677514 | 192.168.0.3 | 192.168.0.6 | SMB2     | 1466   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1793 | 7.677865 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 1798 | 7.677893 | 192.168.0.4 | 192.168.0.5 | SMB2     | 1514   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1864 | 7.678619 | 192.168.0.3 | 192.168.0.6 | SMB2     | 2926   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 1954 | 7.679700 | 192.168.0.3 | 192.168.0.6 | SMB2     | 4386   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 2002 | 7.683994 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 2007 | 7.684227 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |
| 2014 | 7.684360 | 192.168.0.5 | 192.168.0.4 | SMB2     | 138    | Write Response   |
| 2105 | 7.685331 | 192.168.0.3 | 192.168.0.6 | SMB2     | 1466   | Write Request Len:1048576 Off:7340032 File: git.tgz [TCP segment of a reassembled PDU] |
| 2137 | 7.685649 | 192.168.0.6 | 192.168.0.3 | SMB2     | 138    | Write Response   |

```
> Frame 1077: 220 bytes on wire (1760 bits), 220 bytes captured (1760 bits) on interface 0
> Ethernet II, Src: Broadcom_e0:b8:a8 (00:10:18:e0:b8:a8), Dst: Broadcom_e0:96:a0 (00:10:18:e0:96:a0)
> Internet Protocol Version 4, Src: 192.168.0.3, Dst: 192.168.0.6
> Transmission Control Protocol, Src Port: 64909, Dst Port: 445, Seq: 169, Ack: 275, Len: 220
> NetBIOS Session Service
> SMB2 (Server Message Block Protocol version 2)
  > SMB2 Header
  > Session Setup Request (0x01)
    [Preauth Hash: 195ab8cb222a647f008c14fa91187987079c363c9bfd6814...]
    > Flags: 1, Session Binding Request
      ...1 = Session Binding Request: True
    > Capabilities: 0x00000001, DFS
    Channel: None (0x00000000)
    Previous Session Id: 0x0000000000000000
```



# SMB3 multi-channel support

- Client send interleaved write requests to dual channels(192.168.0.3, 192.168.0.4)



The image shows a Wireshark capture of SMB traffic. The interface includes a menu bar (File, Edit, View, Go, Capture, Analyze, Statistics, Telephony, Wireless, Tools, Help) and a toolbar. The main pane displays a list of network packets with columns for No., Time, Source, Destination, Protocol, Length, and Info. A red box highlights a sequence of five packets (No. 40779 to 44243) that demonstrate interleaved write requests to dual channels (192.168.0.3 and 192.168.0.4). The packets are as follows:

| No.   | Time     | Source      | Destination | Protocol | Length | Info  |
|-------|----------|-------------|-------------|----------|--------|---|
| 40779 | 8.155983 | 192.168.0.4 | 192.168.0.5 | SMB2     | 1514   | Write Request Len:1048576 Off:66060288 File: git.tgz [TCP segment of a reassembled PDU] |
| 41160 | 8.159810 | 192.168.0.3 | 192.168.0.6 | SMB2     | 6306   | Write Request Len:1048576 Off:65011712  |
| 42598 | 8.173625 | 192.168.0.4 | 192.168.0.5 | SMB2     | 1514   | Write Request Len:1048576 Off:67108864 File: git.tgz [TCP segment of a reassembled PDU] |
| 42988 | 8.177463 | 192.168.0.3 | 192.168.0.6 | SMB2     | 1926   | Write Request Len:1048576 Off:69206016  |
| 44243 | 8.191298 | 192.168.0.4 | 192.168.0.5 | SMB2     | 1850   | Write Request Len:1048576 Off:68157440 File: git.tgz                                    |

# Currently working features

- SMB Direct with windows client
  - Got test HW support from Chelsio (Bob Dugan)
  - Windows client connection success
  - Checking performance issue
  - Add interface to change SMBD parameter as per RDMA NICs
  - Credit management rework
- SMB2 directory leases
- SMB2 change notify
  - Considering using fanotify instead of inotify for SMB2\_WATCH\_TREE
  - Need to change fanotify codes as export symbol to call function by ksmbd.

# ksmbd start to fully support smbdirect

- Handle large RDMA read/write size(bulk data) supported by SMB Direct multi-descriptors.(It supported single descriptor with 512KB size before)
  - 8MB RDMA read/write size by default.
  - Control read/write size through ksmbd configuration.(e.g. smbd io size = 16MB)
- Improve the compatibility with various RDMA types of NICs
  - Tested smb-direct working with iWARP(Chelsio, soft-iWARP), Infiniband(Mellanox NICs, Connectx3 ~ x5), ROCE(soft-ROCE).
- Auto-detection of RDMA NICs without configuration.
  - Server should send RDMA NIC info to client.
  - No need to specify RDMA NIC information to smb.conf.

# Performance test environment

- Benchmark tool
  - Framtest (<https://support.dvsus.com/hc/en-us/articles/212925466-How-to-use-frametest>)

- Server

CPU: intel Silver 4114 x 2

DRAM: 512GB

NVMe SSD: Kioxia CM6 1.9T x 9(mdadm raid0 with XFS)

NIC: MCX516A-CCAT

- Client

CPU: intel Silver 4215 x 2

DRAM: 64GB

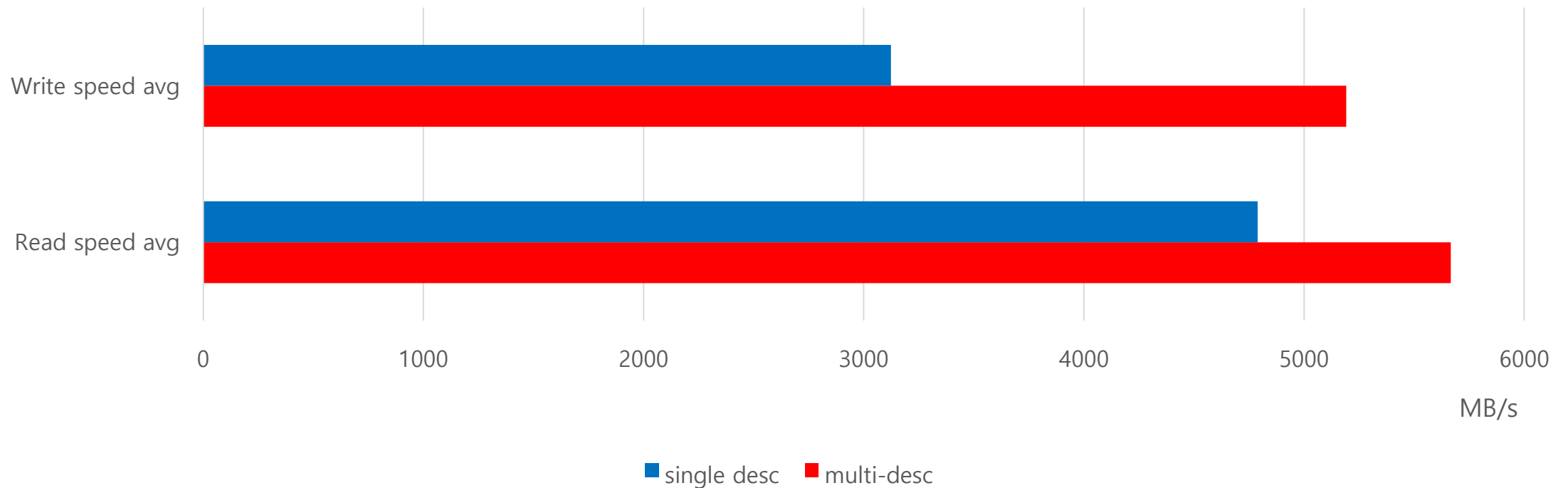
NIC: MCX516A-CCAT



# Performance comparison between single and multi-descriptor.

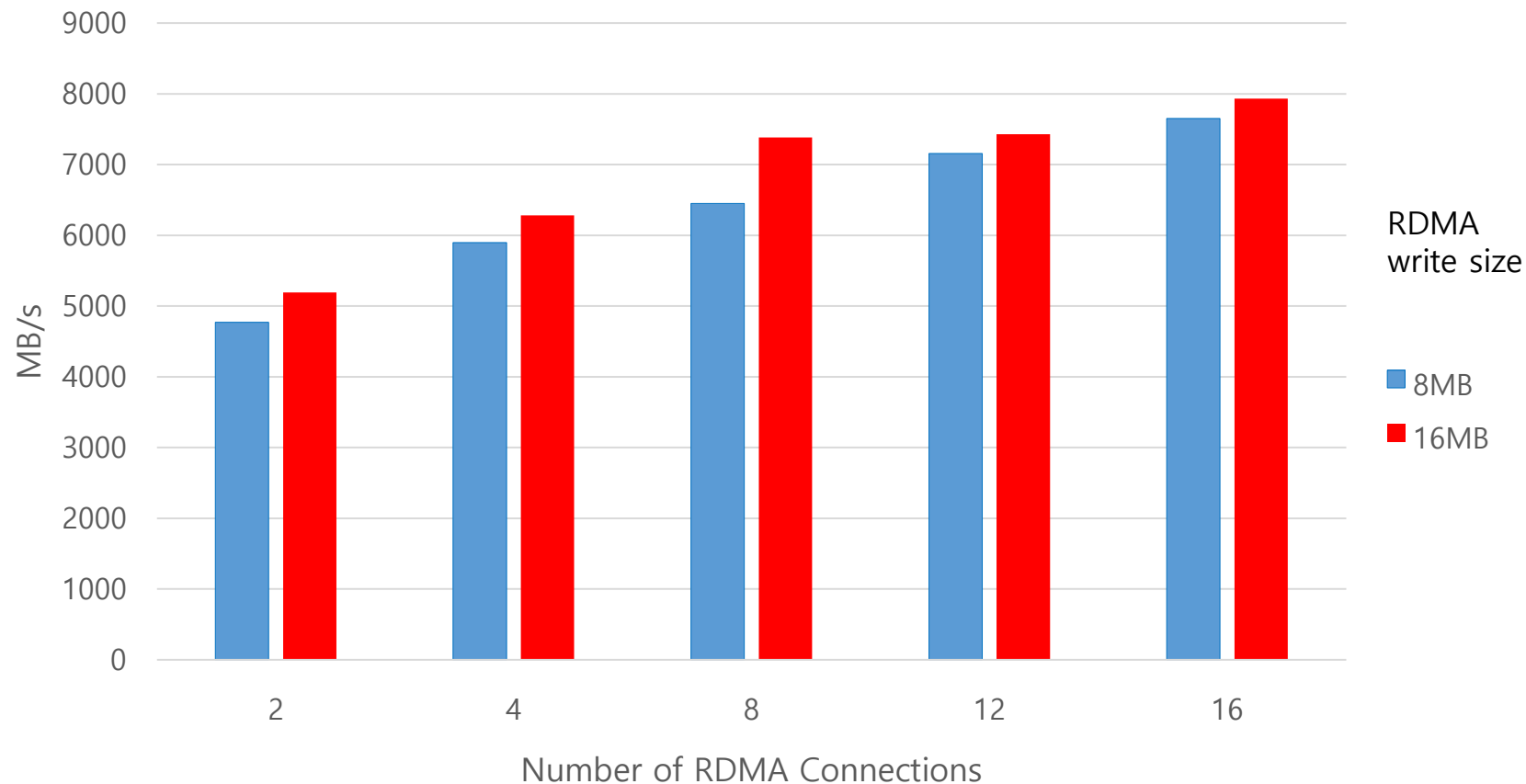
Frametest -w 4k -n 2000 -t 20

Frametest -r 4k -n 2000 -t 20



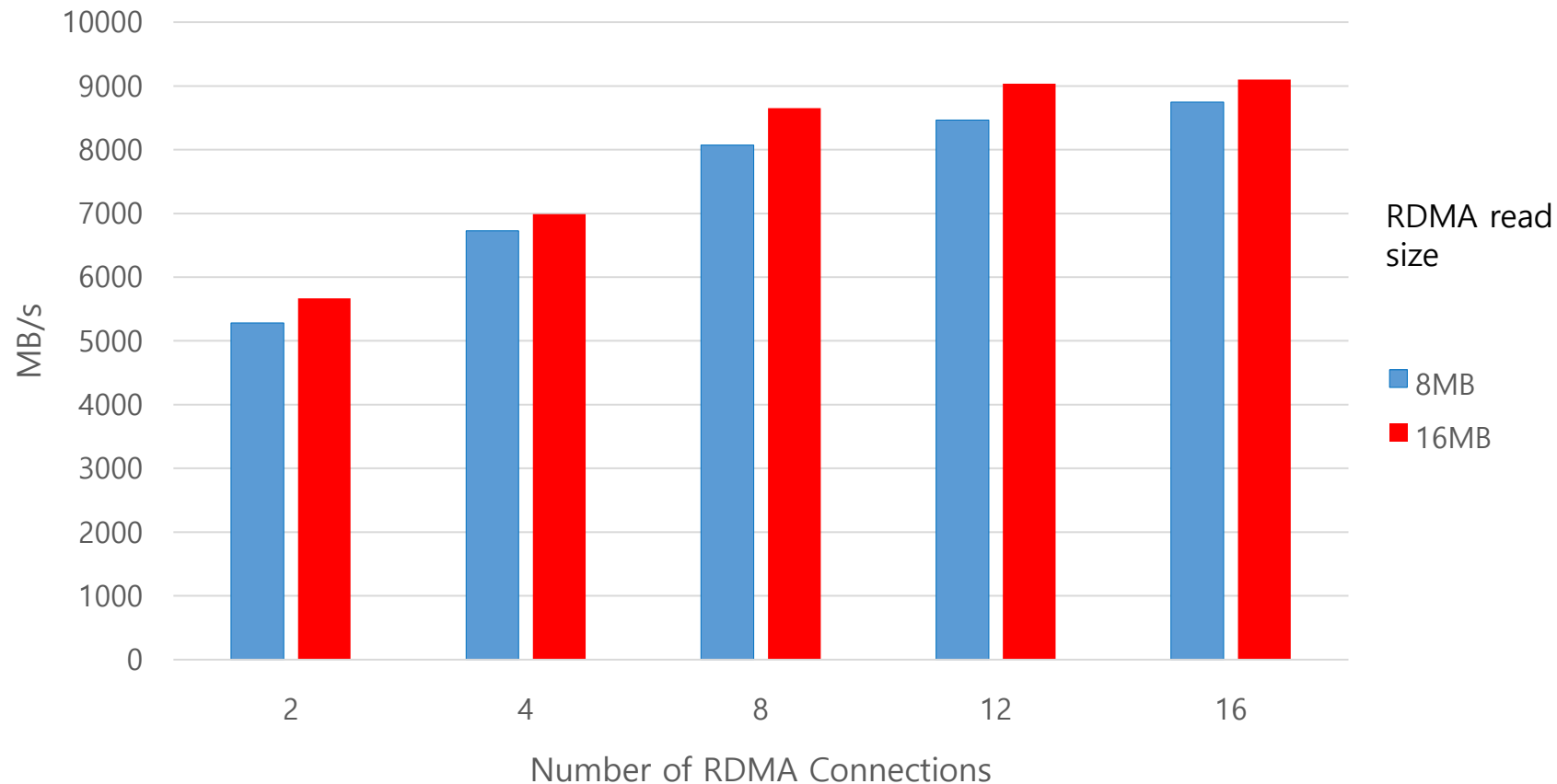
# RDMA write performance per number of connections

Frametest -w 4k -n 2000 -t 20



# RDMA read performance per number of connections

Frametest -r 4k -n 2000 -t 20



## Linux Kernel Server, KSMBD (continued)

- If interested in contributing there are lots of cool features to work on, as well as improved integration with Samba (e.g. user space upcalls for additional features). The SMB3.1.1 family of protocols is huge!
- Roles: there are multiple developers helping Namjae (the maintainer). I am managing the git merges, ensuring additional functional testing is done regularly, and reviewing patches as requested by Namjae (my focus is largely on the client)
- Namjae would welcome additional help with code reviews, security auditing, testing and new features
- Very exciting time!



# Recent improvements in the kernel client

(cifs.ko)



# AES-GCM-256 (Strongest Encryption)

- Negotiated by default if server requires it (Azure, Windows, ksmbd etc. support it now)
- Client can require (force) if “require\_gcm\_256” module parm set
- See trace of Linux AES-GCM-256 mount to Windows (with “require\_gcm\_256” set on client)

The image shows a Wireshark capture of SMB2 traffic. The main pane displays a list of packets with the following details:

| No. | Time        | Source       | Destination | Prot  | Length | Info                                     |
|-----|-------------|--------------|-------------|-------|--------|--|
| 5   | 3.666188866 | 172.27.98... | 172.27.1... | SM... | 314    | Negotiate Protocol Request               |
| 6   | 3.667107567 | 172.27.10... | 172.27.9... | SM... | 310    | Negotiate Protocol Response              |
| 8   | 3.667377768 | 172.27.98... | 172.27.1... | SM... | 178    | Session Setup Request, NTLMSSP_NEGOTIATE |
| 9   | 3.667715068 | 172.27.10... | 172.27.9... | SM... | 368    | Session Setup Response, Error: STATUS_MC |
| ... | 3.667755968 | 172.27.98... | 172.27.1... | SM... | 440    | Session Setup Request, NTLMSSP_AUTH, Use |
| ... | 3.668565569 | 172.27.10... | 172.27.9... | SM... | 130    | Session Setup Response                   |
| ... | 3.670749073 | 172.27.98... | 172.27.1... | SM... | 224    | Encrypted SMB3                           |

The packet details pane for the selected packet (No. 6) shows the following information:

- Max Transaction Size: 8388608
- Max Read Size: 8388608
- Max Write Size: 8388608
- Current Time: Sep 13, 2020 23:32:44.302804100 CDT
- Boot Time: No time specified (0)
- Blob Offset: 0x00000080
- Blob Length: 42
- Security Blob: 602806062b0601050502a01e301ca01a3018060a2b06010401823702021e060a2b060
- NegotiateContextOffset: 0x00b0
- Negotiate Context: SMB2\_PREAUTH\_INTEGRITY\_CAPABILITIES
- Negotiate Context: SMB2\_ENCRYPTION\_CAPABILITIES
  - Type: SMB2\_ENCRYPTION\_CAPABILITIES (0x0002)
  - DataLength: 4
  - Reserved: 00000000
  - CipherCount: 1
  - CipherId: AES-256-GCM (0x0004)

The packet bytes pane shows the raw data in hexadecimal and ASCII:

```
0000 00 15 5d 54 66 18 00 15 5d 54 66 15 08 00 45 00  ..]Tf... ]Tf...E.
0010 01 28 06 49 40 00 80 06 d0 9c ac 1b 68 59 ac 1b  (.I@... ..hY..
```

The status bar at the bottom indicates: gcm-256.pcapng Packets: 21 · Displayed: 7 (33.3%) Profile: Default

## What about Performance Improvements?

It rocks! Let's take a simple example and copy 10GB from Azure server down to Linux client VM

```
“dd if=/mnt/10GB of=/dev/null bs=1M count=10K”
```

- Old defaults (3.0) 143MB/sec
- With 3.1.1 201MB/sec (41% faster)
- And go to 2 channels & set new parm “rasize” to 4MB  
453MB/sec, More than 3x faster!!
- Lots of great perf improvements!



## And another example (thank you Rohith!)

.Support added for handle leases (deferred close) in 5.13 kernel. Here are two simple example of the huge caching perf gains even copying to Samba localhost

. Create a 2GB file and read it back (read is 4x faster)

```
dd if=/dev/urandom of=2G bs=1M count=2K ;
```

```
dd if=2G bs=1M count=2K of=/dev/null
```

-Before: 2.0 GiB copied, 0.583143 s, 3.7 GB/s

-Current: 2.0 GiB copied, 0.159237 s, 13.5 GB/s

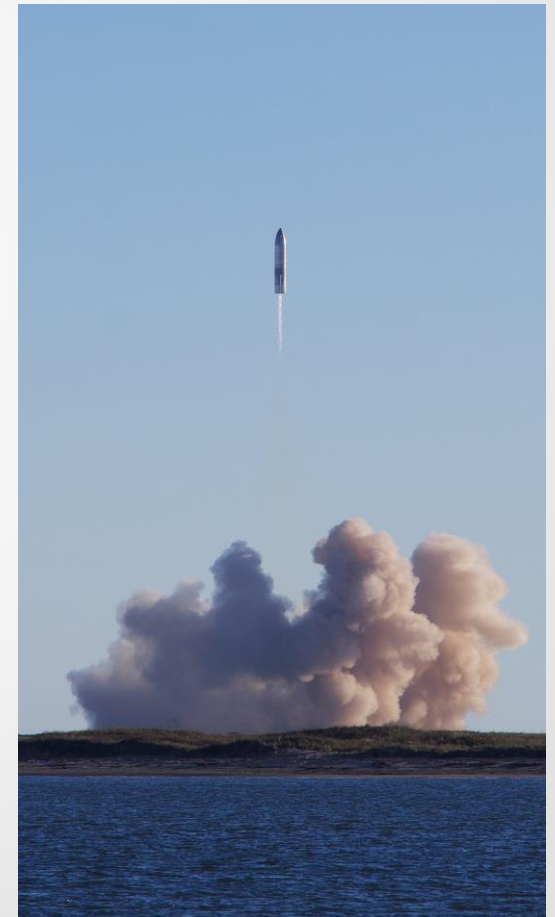
.Read the same 4GB file twice (2<sup>nd</sup> time is 3x faster)

```
.dd if=4G of=/dev/null bs=1M count=4K ;
```

```
.dd if=4G of=/dev/null bs=1M count=4K
```

-Before: 4.0 GiB copied, 1.36794 s, 3.1 GB/s

-Current: 4.0 GiB copied, 0.441635 s, 9.7 GB/s



## 5.13 kernel (June 27th 2021)

### 66 changesets. cifs.ko version 2.32

- Huge performance boost for readahead in some configurations by setting new mount parameter (“rsize=”) larger than rsize
- Add support for fcollapse and finset (collapse and insert range calls)
- Add support for deferred close (handle leases), greatly improving performance of some workloads
- Improvements to directory caching of the root directory
- Strongest type of encryption (GCM256) is now sent by default in the list of allowed encryption algorithms (GCM128 preferred, then GCM256 then CCM128) and does not have to be enabled manually in module load time parameters
- Debugging of encrypted mounts improved (e.g. for multiuser mounts and also for GCM256)
- Add support for shutdown ioctl (useful to halt new activity to better allow emergency unmounts, and also required for some common testcases)
- Mount error handling improvements (see “/proc/fs/cifs/mount\_params”)

# 5.14 kernel (August 29<sup>th</sup>) 71 changesets, cifs.ko version 2.33

- Fallocate improvements (can now alloc smaller ranges up to 1MB). Thank you Ronnie!
- DFS reconnect improvements, and reconnect retry improvements. Thank you Paulo!
- Experimental support added for negotiating signing algorithm
- And 5.15 kernel
  - Important deferred close (handle lease) bug fixes
  - Support for weaker authentication (NTLMv1 and LANMAN) removed
  - (And experimental kernel server, ksmbd, merged)



## 5.16 kernel (Jan 9, 2022) 46 changesets cifs.ko version 2.34

- Performance improvements for stat, setfilesize and set\_file\_info (additional uses of compounding)
- Multichannel improvements (thanks Shyam!)
- Reconnect improvements
- Fscache fixes
- New mount parm "tcpnodelay"

## 5.17 kernel (March 20<sup>th</sup>), 51 changesets, cifs.ko ver 2.35

- Add support for new fscache (offline files caching mechanism)
- Send additional NTLMSSP info (including module and OS version) for improved debugging
- DFS and ACL fixes
- Restructuring of multichannel code

## 5.18 kernel (May 22<sup>nd</sup>), 40 changesets, cifs.ko ver 2.36

- Important performance improvement (reuse cached file handle for various common operations like stat and statfs if available), greatly reducing metadata operations (like open/close)
- Important fscache (offline file caching) and DFS improvements
- cross mount reflink now supported, which can dramatically improve copy performance from one share to another (on the same server) if they support duplicate extents.

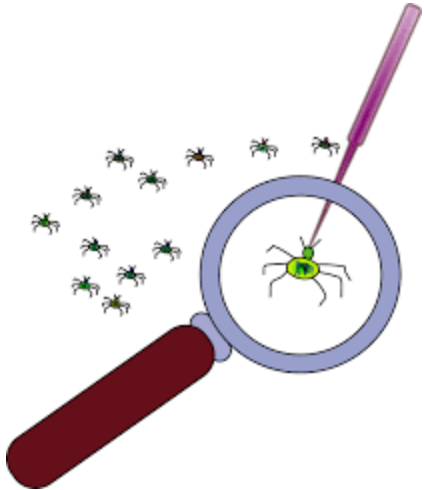
## 5.19-rc kernel (expected in early August)

- Important performance optimization for directory searches, now we cache the root directory content (to the many servers which support directory leases) reducing amount of network traffic for queries in the root directory
- Multichannel reconnect improvements (e.g. when address or interfaces change)
- RDMA (smbdirect) improvements

# Tracing continues to improve ...

- Added more than 10 additional dynamic tracepoints

```
root@smfrench-ThinkPad-P52: /sys/kernel/debug/tracing/events/cifs
smb3_open_enter      smb3_write_err
smb3_open_err       smb3_zero_done
smb3_oplock_not_found smb3_zero_enter
smb3_overflow_credits smb3_zero_err
root@smfrench-ThinkPad-P52:/sys/kernel/debug/tracing/events/cifs# ls
cifs_flush_err      smb3_flush_enter    smb3_pend_credits    smb3_rmdir_done
cifs_fsync_err      smb3_flush_err      smb3_posix_mkdir_done smb3_rmdir_enter
enable              smb3_fsctl_err      smb3_posix_mkdir_enter smb3_rmdir_err
filter             smb3_hardlink_done  smb3_posix_mkdir_err  smb3_ses_expired
smb3_add_credits    smb3_hardlink_enter smb3_posix_query_info_compound_done smb3_set_credits
smb3_adj_credits    smb3_hardlink_err   smb3_posix_query_info_compound_enter smb3_set_eof_done
smb3_close_done     smb3_hdr_credits    smb3_posix_query_info_compound_err  smb3_set_eof_enter
smb3_close_enter    smb3_insufficient_credits smb3_query_dir_done  smb3_set_eof_err
smb3_close_err      smb3_lease_done     smb3_query_dir_enter  smb3_set_info_compound_done
smb3_cmd_done       smb3_lease_err      smb3_query_dir_err    smb3_set_info_compound_enter
smb3_cmd_enter      smb3_lease_not_found smb3_query_info_compound_done  smb3_set_info_compound_err
smb3_cmd_err        smb3_lock_err       smb3_query_info_compound_enter  smb3_set_info_err
smb3_connect_done   smb3_mkdir_done     smb3_query_info_compound_err     smb3_slow_rsp
smb3_connect_err    smb3_mkdir_enter    smb3_query_info_done  smb3_tcon
smb3_credit_timeout smb3_mkdir_err       smb3_query_info_enter  smb3_too_many_credits
smb3_delete_done    smb3_nblk_credits   smb3_query_info_err    smb3_wait_credits
smb3_delete_enter   smb3_notify_done    smb3_read_done         smb3_waitff_credits
smb3_delete_err     smb3_notify_enter   smb3_read_enter        smb3_write_done
smb3_enter          smb3_notify_err     smb3_read_err          smb3_write_enter
smb3_exit_done      smb3_open_done      smb3_reconnect         smb3_write_err
smb3_exit_err       smb3_open_enter     smb3_reconnect_detected smb3_zero_done
smb3_falloc_done    smb3_open_err       smb3_reconnect_with_invalid_credits smb3_zero_enter
smb3_falloc_enter   smb3_oplock_not_found smb3_rename_done       smb3_zero_err
smb3_falloc_err     smb3_overflow_credits smb3_rename_enter
smb3_flush_done     smb3_partial_send_reconnect smb3_rename_err
root@smfrench-ThinkPad-P52:/sys/kernel/debug/tracing/events/cifs# ls | wc
          98          98         1819
root@smfrench-ThinkPad-P52:/sys/kernel/debug/tracing/events/cifs#
```





# eBPF is amazing ...

- See Brendan Gregg's website
- Also see e.g.  
[https://wiki.samba.org/index.php/LinuxCIFS\\_troubleshooting](https://wiki.samba.org/index.php/LinuxCIFS_troubleshooting)
- Can be as simple to do as “trace-cmd record -e cifs”
  - And then “trace-cmd show” in another window
- Let us know if suggestions on other debugging tracepoints that would be helpful
- And don't forget about *proc/fs/cifs/Stats*, *proc/fs/cifs/open\_files* and *proc/fs/cifs/DebugData* ...



# Recent improvements – cifs-utils

Userspace tools

# Improved user space tools (cifs-utils)

- cifs-utils 6.14 released in Sept
  - Add commands to view Alternate Data Streams
  - setcifsacl improvements
  - mproved debugging (keydump)
- Cifs-utils 6.15 released in April
- More recently
  - Add support for gss-proxy (improving krb5 credential retrieval)
  - Misc. bug fixes

Speaker  
Photo Will  
Be Placed  
Here

# eBPF is amazing ...

- See Brendan Gregg's website
- Also see e.g.  
[https://wiki.samba.org/index.php/LinuxCIFS\\_troubleshooting](https://wiki.samba.org/index.php/LinuxCIFS_troubleshooting)
- Can be as simple to do as “trace-cmd record -e cifs”
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# Coming soon ...

New features under development for SMB3.1.1 on Linux



# What features can you expect in next few releases?

- Extending use of directory leases to greatly improve metadata caching (currently limited to contents of the root directory)
- SMB3.1.1 compression support (allow compressing network traffic based on the SMB3.1.1 compress mount parm)
- statx to return additional SMB3.1.1 attributes like “offline”
- Improvements to enable fanotify/inotify over SMB3.1.1 mounts (currently requires a private SMB3.1.1 specific ioctl)
- Prototype of SMB3.1.1 over QUIC (new encrypted network transport)
- More perf improvements for folios, cache, parallel i/o, multichannel
- More testing of the SMB3.1.1 POSIX extensions to ksmbd (and soon Samba server)



# Testing Improvements

Section Subtitle

# Automated testing has greatly improved

- Historically SMB3.1.1 plugfests multiple times a year have helped too
- The ‘buildbot’ continues to improve, more tests added, reducing regressions and improving quality
- Test groups for different server types and a general “cifs-testing” one

Buildbot: builder azure-multichannel build 231 - Google Chrome

Buildbot: builder azure-multichannel build 231

Build steps: Build Properties Worker: cifs-testing Responsible Users: Changes Debug

| Step                                       | Duration | Status     |
|--|----------|------------|
| 1. Pull git repos                          | 10 s     | Successful |
| 2. Shutting down win16-tester              | 1 s      | Successful |
| 3. Shutting down fedora29-tester           | 1 s      | Successful |
| 4. Shutting down ubuntu-btrfs-tester       | 1 s      | Successful |
| 5. Restoring image for win16-tester        | 2 s      | Successful |
| 6. Restoring image for fedora29-tester     | 1 s      | Successful |
| 7. Restoring image for ubuntu-btrfs-tester | 2 s      | Successful |
| 8. Rebooting win16-tester                  | 58 s     | Successful |
| 9. Rebooting ubuntu-btrfs-tester           | 24 s     | Successful |
| 10. Rebooting fedora29-tester              | 44 s     | Successful |
| 11. Build xfstests on fedora29 vm.test     | 2:02     | Successful |
| 12. Copy files                             | 6 s      | Successful |
| 13. Build and install new kernel           | 2:43     | Successful |
| 14. Rebooting fedora29-tester_1            | 52 s     | Successful |
| 15. Build cifsutils on fedora29 vm.test    | 59 s     | Successful |

Buildbot: builder cifs-testing build 930 - Google Chrome

Buildbot: builder cifs-testing build 930

| Step   | Duration | Status     |
|--|----------|------------|
| 17. Run xfstest smb3 cifs/001                | 9 s      | Successful |
| 18. Run xfstest smb3multiluser cifsutils/101 | 11 s     | Successful |
| 19. Run xfstest smb3 cifsutils/110           | 6 s      | Successful |
| 20. Run xfstest smb3azureseal cifs/100       | 7 s      | Successful |
| 21. Run xfstest smb3multiluser cifs/101      | 11 s     | Successful |
| 22. Run xfstest smb3 cifs/102                | 1:17     | Successful |
| 23. Run xfstest smb3 cifs/103                | 13 s     | Successful |
| 24. Run xfstest smb3mchan cifs/104           | 10 s     | Successful |
| 25. Run xfstest smb3 cifs/105                | 11 s     | Successful |
| 26. Run xfstest smb3samba cifs/105           | 10 s     | Successful |
| 27. Run xfstest smb3azure cifs/106           | 9 s      | Successful |
| 28. Run xfstest smb3 cifs/107                | 10 s     | Successful |
| 29. Run xfstest smb3sign generic/001         | 4:52     | Successful |
| 30. Run xfstest smb3 generic/001             | 2:32     | Successful |
| 31. Run xfstest smb3mchan generic/001        | 2:33     | Successful |
| 32. Run xfstest smb3 generic/002             | 9 s      | Successful |
| 33. Run xfstest smb3sign generic/002         | 12 s     | Successful |
| 34. Run xfstest smb21 generic/002            | 14 s     | Successful |
| 35. Run xfstest smb3samba generic/002        | 12 s     | Successful |
| 36. Run xfstest smb3 generic/005             | 40 s     | Successful |
| 37. Run xfstest smb21 generic/005            | 42 s     | Successful |

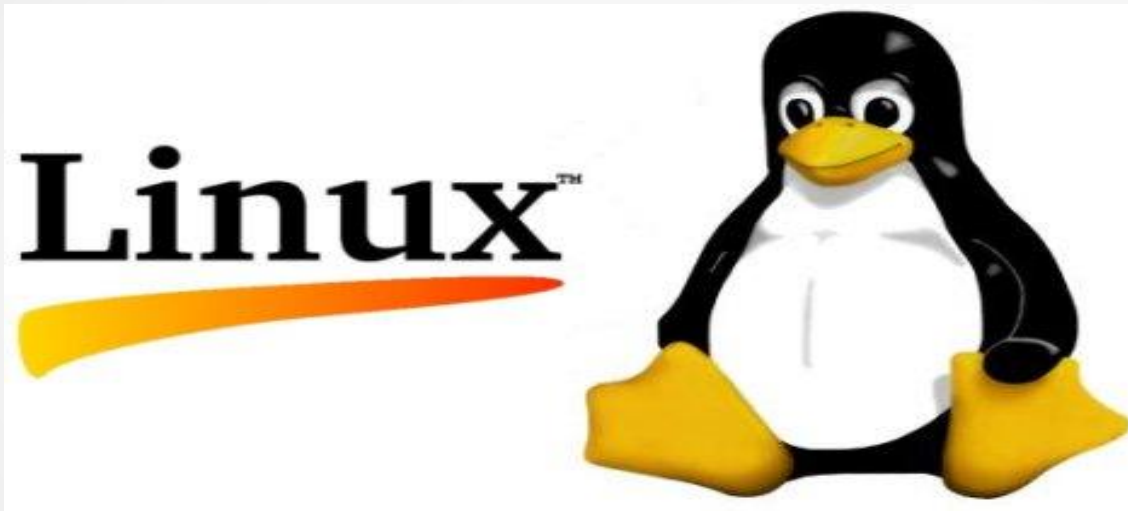
# Additional tests are encouraged

- Xfstests are the standard Linux filesystem functional tests
- Added 21 to the main “cifs-testing” regression testing group (up to 245 tests run on every checkin from this group)
- Various server specific groups have added even more
  - [Azure SMB3.1.1 multichannel](#): up 25% more tests, now includes 133 tests
  - [Ksmbd \(Linux kernel server target\)](#) up 15%, now includes 144 tests
- There are detailed wiki pages on [wiki.samba.org](http://wiki.samba.org) going through how to setup xfstests with cifs.ko, and what features need to be added to enable more tests (tests that currently skip or fail so aren't run in the 'buildbot')



# Thank you for your time

- Future is very bright!



+

**S**  
**M**  
**B**  
**3**

# Additional Resources to Explore for SMB3 and Linux

- <https://msdn.microsoft.com/en-us/library/gg685446.aspx>
  - In particular MS-SMB2.pdf at <https://msdn.microsoft.com/en-us/library/cc246482.aspx>
- <https://wiki.samba.org/index.php/Xfstesting-cifs>
- Linux CIFS client <https://wiki.samba.org/index.php/LinuxCIFS>
- Samba-technical mailing list and IRC channel
- And various presentations at <http://www.sambaxp.org> and Microsoft channel 9 and of course SNIA ...  
<http://www.snia.org/events/storage-developer>
- And the code:
  - <https://git.kernel.org/cgit/linux/kernel/git/torvalds/linux.git/tree/fs/cifs>
  - For pending changes, soon to go into upstream kernel see:
    - <https://git.samba.org/?p=sfrench/cifs-2.6.git;a=shortlog;h=refs/heads/for-next>
  - Kernel server code: <https://git.samba.org/ksmbd.git/?p=ksmbd.git> (ksmbd-for-next branch)